

CFTC staff remind derivatives firms that existing rules cover their AI use

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CFTC Staff Issues Advisory Related to the Use of Artificial Intelligence by CFTC-Registered Entities and Registrants

December 05, 2024

WASHINGTON, D.C. — The Commodity Futures Trading Commission's Divisions of Clearing and Risk, Data, Market Oversight, and Market Participants today issued a staff advisory on the use of artificial intelligence in CFTC-regulated markets by registered entities and registrants. The advisory reminds CFTC-regulated entities of their obligations under the Commodity Exchange Act and the CFTC's regulations as these entities begin to implement AI.

CFTC staff is closely tracking the development of AI technology and AI's potential benefits and risks. Staff values its ongoing dialogue with CFTC-regulated entities and intends to monitor these entities' use of AI as part of the agency's routine oversight activities. The advisory is informed, in part, by public comments received in response to the staff's January 25, 2024 Request for Comment on AI. [See CFTC Press Release No. 8853-24]

[See CFTC Staff AI Advisory]

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